



Privacy Notice

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

Alpha Investment Management is committed to protecting the privacy of its clients' non-public personal financial information.

Types of Personal Information We Collect

As part of its business practices, Alpha Investment Management may collect certain non-public information about its clients. This information includes:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others including, but not limited to your account number, balance, payment history, parties to transactions, cost basis information and other financial information.

Types of Information We Disclose and Parties to Whom We Disclose

Alpha Investment Management does not disclose any non-public personal information about our current or former clients to affiliated or nonaffiliated third parties, except as required or permitted by law.

Confidentiality and Security

Alpha Investment Management restricts access to your non-public personal information to authorized parties who require such information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your non-public personal information.

Opt Out

No opt out list is maintained as Alpha Investment Management does not share or sell your information as indicated above.

If you have any questions concerning these policies, please call Dr. Jerry Minton at Alpha Investment Management at 513-621-9400 or write us at 2245 Gilbert Avenue, Suite 100, Cincinnati, OH 45206.